METHOD Open Access

TT-Mars: structural variants assessment based on haplotype-resolved assemblies



Jianzhi Yang and Mark J.P. Chaisson*

*Correspondence: mchaisso@usc.edu Department of Quantitative and Computational Biology, University of Southern California, Los Angeles, CA. USA

Abstract

Variant benchmarking is often performed by comparing a test callset to a gold standard set of variants. In repetitive regions of the genome, it may be difficult to establish what is the truth for a call, for example, when different alignment scoring metrics provide equally supported but different variant calls on the same data. Here, we provide an alternative approach, TT-Mars, that takes advantage of the recent production of high-quality haplotype-resolved genome assemblies by providing false discovery rates for variant calls based on how well their call reflects the content of the assembly, rather than comparing calls themselves.

Keywords: Structural variants, Benchmarking, Validation

Background

Large-scale sequencing studies enable association of genetic variation such as common structural variations (SVs) [1] or rare variation of any [2] with traits and diseases. SVs include deletions, insertions, duplications, and rearrangements at least 50 bases that as a class have a considerable role in genetic diversity [3, 4], developmental disorders [5, 6], and cancer [7]. Compared to variations such as SNVs, SVs have been historically more difficult to detect using high-throughput short-read data, particularly due to the vast diversity of sizes and breakpoint complexity that SVs span [8]. In recent years, single-molecule sequencing (SMS) has been used to generate high-quality structural variant callsets [9–12] because long reads or their de novo assemblies span SVs, particularly in complex and repetitive regions [13, 14].

Despite recent performance gains in SMS instrument throughput [15, 16], short-read sequencing remains the primary technology for sequencing large populations [1, 17]. Many algorithms have been developed to detect SVs using different types of information from short-read sequencing [18–20], but there are reports of both high rates of false positives [13] and low recall rates [9]. Additionally, detecting complex SVs is still challenging [9], and callers can produce different SV callsets on the same genome sample [21], which makes it more difficult to output a complete high-quality SV callset.



© The Author(s). 2022 **Open Access** This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit http://creativecommons.org/licenses/by/4.0/. The Creative Commons Public Domain Dedication waiver (http://creativecommons.org/publicdomain/zero/1.0/) applies to the data made available in this article. unless otherwise stated in a credit line to the data.

Yang and Chaisson Genome Biology (2022) 23:110 Page 2 of 14

The limitations and challenges in SV detection, combined with an importance in clinical sequencing, motivates the need for efficient and precise tools to evaluate SV callset accuracy. A common framework for this benchmark is to establish a ground truth as a consensus between calls from multiple sequencing technologies and SV discovery algorithms and to compare new calls to the ground truth. One of the first approaches to generate a gold standard was the svclassify method that used machine learning to classify SVs as true positive (TP), false positive (FP), or unclear [22]. Recently, the Genome in a Bottle Consortium (GIAB) made a high-quality benchmark set of large (> 50 bp) insertions and deletions using multiple SV callsets produced by a wide range of analysis methods [21]. This benchmark set can be used to evaluate arbitrary SV callsets generated by different combinations of algorithms and sequencing data. The accompanying method, truvari [21], is used to compare SV calls based on agreement between the breakpoints in the test and benchmark calls, with the option to compare sequences of variants. To be considered a true positive, the test variant must be within a specified size and distance of a ground truth call [21]. This approach has been an invaluable standard for benchmarking method accuracy; however, the notion of comparing callsets may be considered as a proxy comparison against the overarching goal of determining how well an algorithm estimates the content of a genome with a particular sequence input. In repetitive regions, there may be multiple placements of breakpoints that have equal support for a variant or similar placement of breakpoints that depend on the parameters for scoring alignments [23]. In part due to the breakpoint degeneracy, and difficulty in calling variants in repetitive regions, the benchmark callset excludes many variants in repetitive regions [21], although repetitive regions are enriched for SVs [10].

As de novo assembly quality has increased with improvements in algorithms and SMS technologies, assemblies have been used to generate SV callsets [24, 25]. SV calls based on haplotype-resolved assemblies are factored into the GIAB truth set, and haplotyperesolved assemblies have been used to create benchmark callsets for structurally divergent regions [24]; however, the difficulties in comparing calls in repetitive regions remain. Here, we propose an alternative approach to validate variant calls by comparing the sequences implied by an SV call to assemblies rather than comparing variant calls. This complements the validation method by the curated gold standard callset from the GIAB such that any genome with a haplotye-resolved assembly of sufficiently high quality may be included as a benchmark and can help validate SVs in repetitive regions because explicit breakpoints are not compared. While this resource has historically not been available, recent advances in single-molecule sequencing and assembly have enabled more routine generation of high-quality haplotype-resolved assemblies. The Human Genome Structural Variation Consortium (HGSVC) has generated 32 haplotype-resolved assemblies of human genomes at a Phred quality scale over 40 with contig N50 values over 25 Mb [12]. The Human Pangenome Reference Consortium is using a combination of Pacific Biosciences HiFi sequencing reads and the hifiasm method [26] to generate haplotyperesolved assemblies with base quality approaching QV50 and assembly N50 over 40 Mb. We have implemented our approach as a method, TT-Mars (structural variants assessment based on haplotype-resolved assemblies), which assesses candidate SV calls by comparing the putative content of a genome given an SV call against a corresponding haplotype-resolved de novo assembly.

Yang and Chaisson Genome Biology (2022) 23:110

We demonstrate that within the regions annotated as high-confidence by GIAB, TT-Mars has consistent results with the truvari analysis using the GIAB gold standard callset. TT-Mars also has consistent results with two other benchmarking methods: VaPoR [27], which is a long-read validation tool, and dipcall+truvari [28] using assembly-based variant calls as a gold standard. We demonstrate that compared to VaPoR, TT-Mars requires smaller input and shorter runtime to achieve comparable results, and validation of calls using TT-Mars is less dependent on alignment gap parameters compared to dipcall+truvari. Using 10 assemblies, we evaluate the distribution of call accuracy for three different short-read SV calling algorithms, LUMPY [18], Wham [29], and DELLY [19], as well as one long-read SV detection algorithm, pbsv [30]. The software is available at https://github.com/ChaissonLab/TT-Mars.git, which provides a utility to download assemblies and alignment maps.

Page 3 of 14

Results

Validation of calls on GIAB HG002 assembly

On human genome sample HG002, we used TT-Mars to validate insertion, deletion, inversion, and duplication calls produced by four methods: LUMPY, Wham, DELLY, and pbsv (Table 1). Translocation/break-end calls and calls on the Y-chromosome were ignored. The runtime scales by the number of calls, most short read data sets can be evaluated within 1 h using a single core, and pbsv (long-read) callsets within 9 h, both using up to 28 G of memory.

The analyzed rates are in the range of 92.2–96.7%. Among the analyzed calls, the TP rates range between 78.4 and 90.0%. Among the calls that are not analyzed (NA), a majority of calls (65.7–78.4%) are in regions which are not covered by one or both assemblies and 14.8–31.4% are in centromeres. Figure 1a shows an example of scatter plot of validation results from TT-Mars, where the TP and FP calls are separated as two clear clusters. Figure 1c gives the distribution of length of calls that are analyzed and missed by TT-Mars. The distribution conforms with the length distribution of the benchmark SV callset [21].

We compared the TT-Mars results to the GIAB HG002 benchmark callset validated using truvari (Table 2) set to search for calls within 1kb (e.g., refdist) and not using sequence identity comparison. For long-read callsets, truvari was used with the option that compares the sequences of SV for validation. Since the GIAB benchmark set only contains deletions and insertions, validation of other types of SVs are not included. Generally, the two validation methods have consistent TP and FP results. For SV that are evaluated by both methods (e.g., excluding the NA calls), 96.0–99.6% of calls have the same classification results in the four callsets (Fig. 1b). For the three short read callsets, there is a net increase of 121–1476 calls analyzed by TT-Mars, and on the pbsv callset,

Table 1 TT-Mars results on callsets from four SV discovery algorithms on HG002, including all calls larger than 10 bp produced by each method

	1. 1			
Callers	TP	FP	NA	Total
Wham	1130	144	74	1348
LUMPY	2990	825	324	4139
DELLY	12,784	1833	812	15,429
pbsv	45,625	5057	1741	52,423

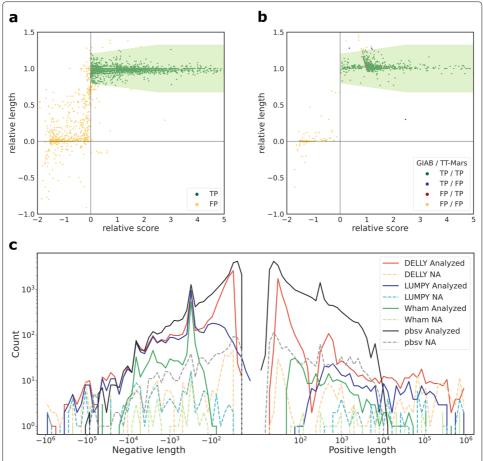


Fig. 1 An example of classification of individual deletion calls by TT-Mars on HG002 made by DELLY (**a**) and LUMPY (**b**). Each dot represents a candidate call. The classification boundaries determined empirically for human data are shown by the light green region. **b** Different classifications made by TT-Mars and GIAB+truvari. **c** The length distribution of SVs in the HG002 callsets by four SV detection algorithms. The distribution of calls that are analyzed or where TT-Mars does not provide an annotation (NA) are shown

Table 2 Comparison of TT-Mars and GIAB benchmarks on HG002. The two methods have the same classification results on more than 96% of the analyzed calls, while TT-Mars analyzed more candidate calls on all the four callsets. A length filter (50 bp to 10 Mbp) is applied, and only deletions and insertions are included to match the truvari parameter settings

					9				
				TT	-Mars				
	Wham					LUMPY			
	TP	FP	NA	Sum	TP	FP	NA	Sum	
TP	882	3	21	906	2091	8	51	2150	
FP	1	13	0	14	22	218	3	243	
NA	118	24	20	162	582	215	99	896	
Sum	1001	40	41	1082	2695	441	153	3289	
		DELLY			pbsv				
	TP	FP	NA	Sum	TP	FP	NA	Sum	
TP	2927	11	57	2995	8903	94	191	9188	
FP	4	412	6	422	286	165	16	467	
NA	1179	360	145	1684	9710	1463	721	11,894	
Sum	4110	783	208	5101	18,899	1722	928	21,549	
	FP NA Sum TP FP NA	TP 882 FP 1 NA 118 Sum 1001 TP TP 2927 FP 4 NA 1179	TP FP TP 882 3 FP 1 13 NA 118 24 Sum 1001 40 TP FP TP PP TP 2927 11 FP 4 412 NA 1179 360	TP FP NA TP 882 3 21 FP 1 13 0 NA 118 24 20 Sum 1001 40 41 TP FP NA TP 2927 11 57 FP 4 412 6 NA 1179 360 145	Wham TP FP NA Sum TP 882 3 21 906 FP 1 13 0 14 NA 118 24 20 162 Sum 1001 40 41 1082 DELLY TP FP NA Sum TP FP NA Sum TP 2927 11 57 2995 FP 4 412 6 422 NA 1179 360 145 1684	TT-Mars Wham TP FP NA Sum TP TP 882 3 21 906 2091 FP 1 13 0 14 22 NA 118 24 20 162 582 Sum 1001 40 41 1082 2695 DELLY TP FP NA Sum TP TP 2927 11 57 2995 8903 FP 4 412 6 422 286 NA 1179 360 145 1684 9710	TT-Mars What Sum TP FP TP 882 3 21 906 2091 8 FP 1 13 0 14 22 218 NA 118 24 20 162 582 215 Sum 1001 40 41 1082 2695 441 TP FP NA Sum TP FP TP 2927 11 57 2995 8903 94 FP 4 412 6 422 286 165 NA 1179 360 145 1684 9710 1463 LUM TP FP TP TP TP TP TP TP	TT-Mars Uham LUMPY LUMPY TP FP NA Sum TP FP NA TP 882 3 21 906 2091 8 51 FP 1 13 0 14 22 218 3 NA 118 24 20 162 582 215 99 Sum 1001 40 41 1082 2695 441 153 DELLY pbsv TP FP NA TP FP NA TP 2927 11 57 2995 8903 94 191 FP 4 412 6 422 286 165 16 NA 1179 360 145 1684 9710 1463 721	

TT-Mars analyzes 10,966 more calls (Additional file 1: Fig. S1 and S2). The regions annotated as confident for validating variant calls by TT-Mars and excluded by truvari include 141 Mbp. These sequences predominantly overlap segmental duplications (99 Mbp) and highly repetitive sequences such as variable-number tandem repeats (4 Mbp).

Performance on 10 HGSVC sample genomes

Gold-standard callsets such as those produced by the GIAB require intense effort to create. Because TT-Mars only needs haplotype-resolved assemblies, multiple samples may be evaluated in order to give benchmark results as a distribution. Recently, the HGSVC has generated 32 high-quality haplotype-resolved assemblies of human genomes [12]. We used TT-mars to evaluate three short-read and one long-read SV-discovery algorithms on ten samples sequenced and assembled by the HGSVC (Fig. 2a). The pbsv callsets, except the HG002 callset, were generated by the HGSVC [12]. Broadly, these calls are generated using the pbmm2 alignment method (using minimap2 [31]) and an SV detection algorithm designed for PacBio raw read data. A second long-read SVs discovery method, cuteSV, had a low TP rate across every evaluation method, and is excluded from the results. The number of analyzed, true positive, and genotype matched sites assessed by TT-Mars are consistent across different samples, for both the short and long read callsets. The fraction of genome that is excluded due to coverage of assemblies is from 9.3 to 10.2% (Additional file 1: Table S1). The fraction of SV calls that are analyzed counts calls that are made by an algorithm in less repetitive regions of the genome that are amenable to long-read assembly, in particular, excluding centromeres and high-identity long segmental duplications. Wham callsets have the highest analyzed rate (93.3% on average) among the three short reads algorithms. The pbsv callsets have the analyzed rate in the range of 93.0-95.2%. The TP rates on short-read callsets range between 63.9 and 85.2%, while pbsv calls have TP rates from 71.4 to 94.3% considering all calls, and 91.7–94.8% for calls \geq 30 bases. The sample HG00513 has lower TP rates among all short read SV discovery algorithms, but also has roughly double the input size of other samples that may have required parameter optimization (Additional file 1: Figs. S3 and S4). DELLY and pbsv output geno-

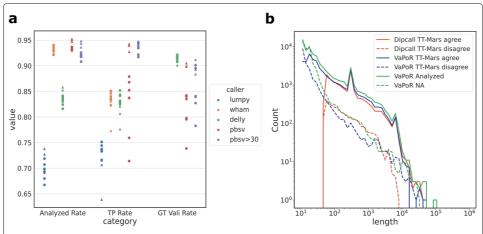


Fig. 2 a, SV metrics for four algorithms on 10 HGSVC genome samples, triangles mark samples using HiFi assemblies. Benchmark results are given as a distribution by TT-Mars. **b** The length distribution of the results by dipcall+truvari, TT-Mars, and VaPoR for pbsv HG00096 calls. The red solid and dashed lines indicate that dipcall+truvari and TT-Mars congruent calls have similar length distributions. The green and blue dashed lines show that VaPoR has more NA and disagreed results with TT-Mars for small SVs (size < 100 bases)

type. Among the TP calls, genotype matched rates of DELLY are from 90.1 to 92.1% and of pbsv are from 73.9 to 90.5% (78.3–91.2% for SV \geq 30bp). TT-Mars also reports the following recall results: The average recall of Wham, LUMPY, DELLY, and pbsv are 7.7%, 13.1%, 16.0%, and 88.7%, respectively.

The availability of assembled sequences allows TT-Mars to validate duplications, specifically checking the genomic organization is in tandem or interspersed. The average number of duplications called by Wham, LUMPY, DELLY, and pbsv on the ten HGSVC genome samples are 1463, 4577, 761, and 1458, respectively. Duplications that are tandemly organized may be validated as inserted elements at the location of the insertion because of the tail-to-head organization. Interspersed duplications are more challenging to validate because the target destination is unknown. Because the GIAB benchmark callset does not include duplication calls, we estimated the performance of TT-Mars using simulations. We ran 1000 simulations of interspersed duplications with an exact sequence copy and length uniformly taken from 100 to 100,000 on the HG002 assembly. Not all duplications were analyzed by TT-Mars because there were no requirements placed on the target site of duplications. In total, 82.8% duplications were analyzed, of which 98.9% were validated (Table 3), indicating that interspersed duplications from non-repetitive euchromatic regions of the genome may be validated by TT-Mars. We also simulated 1000 false duplications; TT-Mars analyzed 88.2% of them of which all were annotated as FP (Table 3).

Comparison to VaPoR and dipcall

We compared our method to two other approaches for validating variant calls that use information from long reads or their assembly: VaPoR, which compares SV calls with individual long reads, and dipcall+truvari, where a callset from a de novo assembly is used as a gold standard for evaluating an SV callset. Both methods are compared with TT-Mars on callsets produced by the four SV discovery methods (Wham, LUMPY, DELLY, and pbsv). Only insertion and deletion variants were in dipcall output, so that other types are not included in the comparison.

Due to the runtime of VaPoR, we limited analysis of data from one sample with each SV discovery method (Table 4). On short read datasets, TT-Mars and VaPoR provide the same validation result on 80.5–92.0% for calls analyzed by both methods; however, there was a net increase of 302–1863 calls that could be analyzed by TT-Mars. On the long read dataset, the two methods agree on 67.6% of calls analyzed by both methods, and TT-Mars analyzes 39,187 more calls. Because of the efficiency of dipcall, we were able to compare all SV callsets on the ten sample genomes. The validation of short-read callsets is similar, with the methods agreeing on validation results for 96.1–99.8% of calls analyzed by both methods (Fig. 3a). This is an expected result because of the relatively low repetitive nature of sequence where short-read algorithms detect SVs [23], and because both validation methods rely on the same assemblies. Similar to the VaPoR comparison, there was a net increase of 16–373 calls for which TT-Mars provides a classification compared to dipcall+truvari. On the ten pbsv callsets, TT-Mars and dipcall+truvari have same classi-

Table 3 TT-Mars validation results of simulated true and false duplications

	TP	FP	NA	Total
True DUP simulation	819	9	172	1000
False DUP simulation	0	882	118	1000

Table 4 Comparison of TT-Mars and VaPoR. The two methods agree on most calls and can analyze a similar number of calls across short-read callsets. On the long read callset, TT-Mars evaluates 39,187 additional variants, the majority of which are under 100 bases

					TT	-Mars					
		Wham			LUMPY						
		TP	FP	NA	Sum	TP	FP	NA	Sum		
	TP	1503	98	76	1677	2614	288	282	3184		
VaPoR	FP	42	108	29	179	163	434	276	873		
	NA	267	149	80	496	345	515	1370	2230		
	Sum	1812	355	185	2352	3122	1237	1928	6287		
			HG00171			HG00096					
			DELLY				pbs	SV			
		TP	FP	NA	Sum	TP	FP	NA	Sum		
	TP	5211	405	480	6096	42,268	8694	3531	54,493		
VaPoR	FP	1009	627	581	2217	12,243	1320	870	14,433		
	NA	2343	581	991	3915	37,646	5942	2765	46,353		
	Sum	8563	1613	2052	12,228	92,157	15,956	7166	115,279		
		HG03009			HG00096						

fication results for 83.8-86.9% of the analyzed calls (Fig. 3b), although TT-Mars analyzes 1497-2229 more insertions/deletions than dipcall+truvari.

The increased number of calls from long-read callsets provide granularity for which the validation approaches may be compared. We analyzed the classification results of TT-Mars, VaPoR, and dipcall+truvari on the pbsv callset on HG00096 (arbitrarily selected from the ten benchmark samples due to VaPoR runtime). There was no association between length (Fig. 2b) nor genomic organization (Additional file 1: Fig. S5) for whether TT-Mars and dipcall+truvari provided a validation; however, there is considerable enrichment in disagreement between methods in tandem duplications. For example, in HG00096, the 92.8% of calls with disagreeing validation overlap tandem repeats. The majority of the variants where VaPoR does not provide a call while TT-Mars does are less than 100 bases. To gauge the true results when two methods disagree, we randomly selected 50 (out of 2434) calls with TT-Mars TP and dipcall+Truvai FP results for manual inspection using IGV [32]. There are various sources for the discrepancy of validation

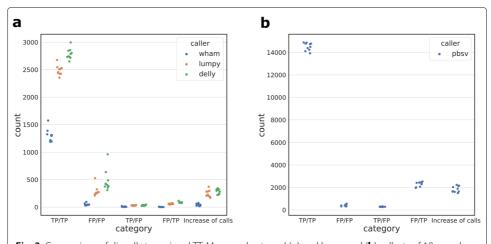


Fig. 3 Comparison of dipcall+truvari and TT-Mars on short-read (**a**) and long-read (**b**) callsets of 10 sample genomes. The combinations of TP and FP for dipcall+truvari/TT-Mars annotations are given with a horizontal scatter to distinguish points in individual categories

result (Additional file 1: Fig. S6-S55). In 27 cases, a call made by pbsv is fragmented into multiple calls by dipcall (Fig. 4), highlighting how the assembly-based approach can account for validation in repetitive DNA where breakpoints are uncertain. In 19 other cases, there are correct variants nearby, and the validation depends on the genomic region considered by truvari. The remaining four cases do not show a matched SV in the alignments generated by minimap2 that are used for validation but do show matched SV(s) in the Ira [33] based alignments, indicating how call-based validation rather than assembly-based validation is reliant upon agreement of gap penalty between detection and validation algorithms. We also manually inspected the converse case 50 (out of 280) calls with TT-Mars FP and dipcall+truvai TP results. Of these, 48 calls have matched SVs in the assembly alignment used by dipcall (using minimap2), and 45 calls do not have matched SVs in the assembly used by TT-Mars (Ira). These represent the cases the assembly has unexpected complicated alignment to the reference leading to spurious gaps (Additional file 1: Fig. S56-S105).

Discussion

Our method relies on highly accurate genome assemblies that do not have rearrangements that could miss a validation. We measured assembly by mapping all reads back to each haplotype and checking for contiguity of reads aligned across each position in the genome. Misassemblies not supported by reads are expected to show punctate drops

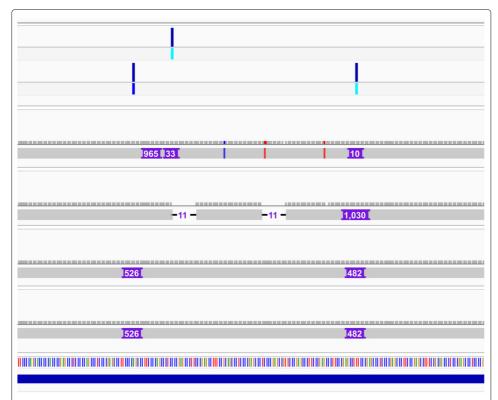


Fig. 4 An example shows TT-Mars correctly validates an insertion but dipcall+truvari does not in a tandem repeat region, where the SV is split into two smaller insertions on the assembly alignment. The insertion with length 997 bases is on chromosome 4, coordinate 1046348. The first track is the pbsv callset, and the second track is the dipcall callset, followed by alignments used by TT-Mars (two tracks) and dipcall (two tracks). The bottom track is a tandem duplication region

Yang and Chaisson Genome Biology (2022) 23:110 Page 9 of 14

in coverage. Overall, the assembly quality was quite high: only ten sites in HG002 were identified as possible misassemblies, and no SV overlapped these sites.

The majority of calls that are evaluated by both the GIAB + truvari and TT-Mars (96.0–99.6%) have the same classification, while evaluating 121–10,966 additional calls per dataset. Furthermore, we are able to evaluate 302–39,187 additional calls per callset compared to the long-read validation tool VaPoR, while not requiring users to download entire read data sets. We show that the assembly-content approach improves over benchmark data sets created from assembly alignment in repetitive regions of the genome because variants split into multiple calls from the assembly alignment are matched in the breakpoint searching procedure.

Duplication calls are a particularly challenging class of variant to validate. This has been done using comparison to array data [34], and more recently through semi-automated and automated visual inspection of calls [35]. Ground truth SV callsets created from assemblies detect duplications as insertions relative to the reference and are annotated by the site of insertion in the genome, compared to duplication calls in short-read callsets that annotate the source interval on the genome that is duplicated. By checking for both tandem duplications, which can be confirmed as insertions in assembly callsets, as well as interspersed duplications using the assemblies, TT-Mars can help provide insight on the classes of variation detected by SV discovery algorithms. One caveat of this analysis is duplications are enriched in repetitive sequences [36], and some validations will require high quality assemblies using ultra-long and accurate (HiFi) sequencing technologies.

Our analysis enables SV benchmarks to be reported as a spread over multiple genomes, rather than a point estimate on one curated data set, and provides insight on how extensible methods are on additional genomes from a single curated callset. Fortunately, most methods had accuracy within 5–10% across all genomes, indicating results are extensible across genomes and, for example, the results in current large-scale short-read based genomics studies likely hold valid. Currently, TT-Mars only validates deletion, insertion, inversion, and duplication calls. As additional methods emerge to detect more complex forms of variation [37], these models can be incorporated into the edit operations used by TT-Mars.

TT-Mars inherently provides a rough estimate of sensitivity because it does not fit into the paradigm of comparing inferred content, and requires variants to be called. This estimate simply considers false negatives as variants detected by haplotype-resolved assemblies that are not within the vicinity of the validated calls, and one should consider a class of variant that may have multiple representations when reporting results. Furthermore, because of the difference in scale of the number of variants discovered in short and long-read studies, it is important to consider the sensitivity of medically relevant variants compared to the total variant count.

Conclusions

Here, we demonstrated an approach to validate SV using haplotype-resolved de novo assemblies where a call is validated by comparing the inferred content of a genome to the assembly, rather than comparing variant calls. This approach is implemented in the software TT-Mars, which is distributed along with accompanying data files required to benchmark variant calls on up to ten samples. It can validate SVs from both short- and long-reads calling algorithms with high accuracy compared to other methods, as well as

maintain a broad usability. TT-Mars enables SV benchmarks to be reported as a distribution over different genomes and provides information on the performance of methods on multiple samples without curated benchmark sets.

Methods

Workflow

TT-Mars uses a haplotype-resolved long-read assembly, and a lift-over map to the human reference to assess each candidate SV call (Fig. 5a–c). Each SV is considered independently as an edit operation on the reference genome (e.g., deletion, duplication) (Additional file 1: Fig. S106), and SV calls are evaluated by comparing both the reference modified by the edit operation and the original reference genome to the assembly (Fig. 5d–g).

To speed up the reference/assembly comparison, only the local region surrounding the SV call are compared using an assembly-genome orthology map constructed from wholegenome alignments (Fig. 5b). Each classification is made as a comparison between the relative scores of the alignment of the reference/modified reference and assembly at the SV locus, and/or the relative length, as detailed below.

Validation

The haplotype-resolved assemblies are pre-processed by TT-Mars to generate an orthology map between the assembly and the reference for regions that are annotated as assembled without error and have a clear 1–1 relationship. The contigs are aligned using lra [33] with the options -CONTIG -p s, from which an orthology map at fixed intervals (20 bp by default) is generated using samLiftover (https://github.com/mchaisso/mcutils) (Fig. 5b). When two contigs have overlapping alignments on the reference, the alignment

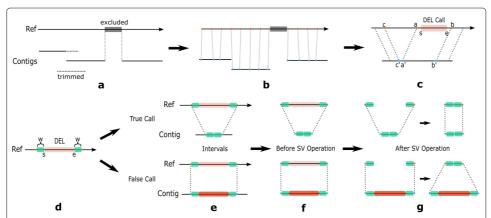


Fig. 5 TT-Mars Workflow. **a** Assembly contigs are aligned to the reference, and the shorter of two overlapping contigs are trimmed to generate a unique mapping. Regions on the reference that are not covered by contigs are excluded. **b** The alignment is used to construct an orthology map at specific intervals (e.g., every 20 bases). **c** For an SV, called at an interval [s, e] on the reference, TT-Mars searches the orthology map for matches outside the interval that most closely reflects the length of the SV. In this example, the interval [a, b] immediately flanking a deletion SV maps to an interval on the assembly that does not reflect the SV, but a wider search in the orthology map shows that [c, b] spans a deletion in the assembly. **d**—**g** Validation details by a deletion example. **d** TT-Mars takes the candidate call with w flanking bases on both sides. The interval on the reference is compared with an interval on the assembly (**e**) before and after the SV operation. In **f** and **g**, the deletion operation removes the corresponding sequence on the reference and is validated if the modified reference is more similar to the assembly than the original

of the shorter contig is trimmed to exclude the overlap (Fig. 5a). Alignments of reads back to assemblies are used to flag potential misassemblies that should not be used to validate calls. Correctly assembled short intervals (e.g., 100 base windows) should have reads that map contiguously from at least one haplotype. We define an interval as correctly assembled if at least five reads are aligned starting/ending at least 1k bases before/after the interval. Intervals are misassembled if this condition does not hold, and the intervals in the flanking 1–3 kb are supported. These parameters are defined by the data to stringently detect misjoins in assemblies and not be affected by read mapping artifacts in repetitive DNA. Next, a high-confidence filter is created by excluding centromeres, intervals not mapped by both haplotypes in autosomal and X chromosomes in females, regions, and low-quality assembled regions flagged by raw-read alignments (Additional file 1: Fig. S107). SV calls that are flagged as analyzed if they are within the high-confidence filter.

The orthology map is accessed as a function Lookup(c, p) that for a position p on chromosome c returns the assembly contig and position on the contig corresponding to the last position on the orthology map at or before p. To evaluate each SV call, we compare the local region surrounding the SV in either the original or modified reference to both assembled haplotypes (Fig. 5b, c). For calls with a defined starting position s and ending position e, such as deletion calls (Fig. 5d), this region may be defined as a region on the reference defined by these positions, expanded by a small number of bases on either side (w bases, w = 500), and the corresponding intervals on the assembly defined as Lookup(c, s - w) and Lookup(c, e + w). We assume that true-positive calls will produce a modified reference sequence that matches one or both haplotypes with high identity, as shown in the top figures in Fig. 5e-g, and that false-positive calls will produce a modified reference sequence that is different from both haplotypes as shown in the bottom figures in Fig. 5e-g. However, in highly repetitive regions, such as variable-number tandemrepeats, the alignment used to generate the orthology map may be different from that which is used to generate the SV call, and the interval defined directly from fixed offsets from the SV boundaries may not reflect this.

To account for potentially inconsistent breakpoints, we search for a combination of boundaries that maximizes the congruence between the modified reference and assembly. Two criteria are used to quantify the discrepancy of the reference and contig intervals. First, relative length is defined as:

$$relative length = \frac{contig interval length - reference interval length}{sv length}.$$
 (1)

Second, the contig interval is aligned to the reference interval before and after the SV operation. The relative score is calculated by

$$relative score = \frac{alignment score after - alignment score before}{|alignment score before|},$$
 (2)

where alignment score after is the alignment score of the contig interval and the reference interval after the SV operation, and alignment score before is the alignment score before the SV operation. Figure 5c illustrates how inconsistent alignments in repetitive regions may cause incorrect inference of SV breakpoints in a lifted region.

TT-Mars validates separate classes of SVs by slightly different strategies. Deletion and sequence-resolved insertion calls are annotated as true positive if the relative length is

close to one and the relative score is positive. Specifically, the true positive region of these two types is defined as (Fig. 1a):

```
 \begin{cases} -\alpha \times \text{relative score} + 1 - \beta \leq \text{relative length} \leq \alpha \times \text{relative score} + 1 + \beta, \\ 0 \leq \text{relative score} \leq \gamma; \\ 1 - \delta \leq \text{relative length} \leq 1 + \delta, \end{cases}  relative score > \gamma,
```

where α , β , γ , and δ are empirical parameters.

Insertion SV calls that do not include the inserted sequence, such as many insertion calls made by short-read callers, are evaluated by relative length only, while inversion calls that do not change the length of the sequence are evaluated by relative score.

TT-Mars validates duplication calls by considering possibilities of both tandem duplication and interspersed duplication. A tandem duplication operation adds a copy of the duplicated sequence to the reference at the duplication locus in a tail to head orientation. The start and end coordinates of the duplication that are lifted over include both copies of the tandem duplication in true-positive calls. Similar to deletions and sequence-resolved insertions, it is validated by using both the relative length and the relative score. Interspersed duplications are evaluated by aligning the duplicated sequence to the assemblies using minimap2 [31] allowing for multiple alignments, and are validated if at least 90% of the duplicated sequence aligns to a sequence in the assembly annotated as an insertion relative to the reference.

The approach that compares genome content rather than callsets inherently does not support measurements of missed calls, or false negatives (FN). To enable reporting FN, TT-Mars provides the option by comparing against a callset offered as ground truth. Variants at least 50 bases produced by dipcall on autosomal and X chromosomes are used as the truth set for annotating false negatives. Calls overlapping with contigs that are trimmed out (Fig. 5a) are ignored since they may produce duplicated truth calls. Because variants from the candidate callset are not validated by matching calls, dipcall variants within a specified number of bases of validated candidate calls (default 1 kb) are considered matched. Remaining unmatched calls are reported as FN.

Supplementary Information

The online version contains supplementary material available at https://doi.org/10.1186/s13059-022-02666-2.

```
Additional file 1: Supplementary figures and analysis.
Additional file 2: Review history.
```

Acknowledgements

We would like to thank anonymous reviewers for their contribution to the peer review of this work.

Peer review information

Kevin Pang was the primary editor of this article and managed its editorial process and peer review in collaboration with the rest of the editorial team.

Review history

The review history is available as Additional file 2.

Authors' contributions

J.Y. and M.J.P.C. conceived the method. J.Y. implemented the software. J.Y. and M.J.P.C. wrote the manuscript. The authors read and approved the final manuscript.

Funding

J.Y. is supported by U24HG007497, M.J.P.C. is supported by U24HG007497 and R01HG011649.

Availability of data and materials

The software is available at GitHub [38], which is licensed under the 3-Clause BSD License. The necessary lift-over files required to run TT-Mars as well as links to the original assemblies are provided through this repository. The source code is also available at Zenodo [39], with both the version used in the manuscript and the latest. Centromere and tandem repeat annotations were downloaded from the UCSC Genome Browser for GRCh38 from the cytoBandldeo and simpleRepeats tables.

Declarations

Ethics approval and consent to participate

Not applicable.

Consent for publication

Not applicable.

Competing interests

The authors declare that they have no competing interests.

Received: 29 September 2021 Accepted: 30 March 2022

Published online: 06 May 2022

References

- Abel HJ, Larson DE, Regier AA, Chiang C, Das I, Kanchi KL, Layer RM, Neale BM, Salerno WJ, Reeves C, et al. Mapping and characterization of structural variation in 17,795 human genomes. Nature. 2020;583(7814):83–9.
- 2. UK10K consortium, et al. The uk10k project identifies rare variants in health and disease. Nature. 2015;526(7571):82.
- 3. Merker JD, Wenger AM, Sneddon T, Grove M, Zappala Z, Fresard L, Waggott D, Utiramerur S, Hou Y, Smith KS, et al. Long-read genome sequencing identifies causal structural variation in a mendelian disease. Genet Med. 2018;20(1):159–63.
- Sanchis-Juan A, Stephens J, French CE, Gleadall N, Mégy K, Penkett C, Shamardina O, Stirrups K, Delon I, Dewhurst E, et al. Complex structural variants in mendelian disorders: identification and breakpoint resolution using short-and long-read genome sequencing. Genome Med. 2018;10(1):1–10.
- Jiang Y.-h., Yuen RK, Jin X, Wang M, Chen N, Wu X, Ju J, Mei J, Shi Y, He M, et al. Detection of clinically relevant genetic variants in autism spectrum disorder by whole-genome sequencing. Am J Hum Genet. 2013;93(2):249–63.
- Niemi ME, Martin HC, Rice DL, Gallone G, Gordon S, Kelemen M, McAloney K, McRae J, Radford EJ, Yu S, et al. Common genetic variants contribute to risk of rare severe neurodevelopmental disorders. Nature. 2018;562(7726): 268–71.
- 7. Macintyre G, Ylstra B, Brenton JD. Sequencing structural variants in cancer for precision therapeutics. Trends Genet. 2016;32(9):530–42.
- 8. Zhao X, Collins RL, Lee W-P, Weber AM, Jun Y, Zhu Q, Weisburd B, Huang Y, Audano PA, Wang H, et al. Expectations and blind spots for structural variation detection from long-read assemblies and short-read genome sequencing technologies. Am J Hum Genet. 2021;108(5):919–28.
- 9. Sedlazeck FJ, Rescheneder P, Smolka M, Fang H, Nattestad M, Von Haeseler A, Schatz MC. Accurate detection of complex structural variations using single-molecule sequencing. Nat Methods. 2018;15(6):461–8.
- 10. Huddleston J, Chaisson MJ, Steinberg KM, Warren W, Hoekzema K, Gordon D, Graves-Lindsay TA, Munson KM, Kronenberg ZN, Vives L, et al. Discovery and genotyping of structural variation from long-read haploid genome sequence data. Genome Res. 2017;27(5):677–85.
- 11. Stancu MC, Van Roosmalen MJ, Renkens I, Nieboer MM, Middelkamp S, De Ligt J, Pregno G, Giachino D, Mandrile G, Valle-Inclan JE, et al. Mapping and phasing of structural variation in patient genomes using nanopore sequencing. Nat Commun. 2017;8(1):1–13.
- 12. Ebert P, Audano PA, Zhu Q, Rodriguez-Martin B, Porubsky D, Bonder MJ, Sulovari A, Ebler J, Zhou W, Serra Mari R, et al. Haplotype-resolved diverse human genomes and integrated analysis of structural variation. Science. 2021;372(6537):7117.
- 13. Mahmoud M, Gobet N, Cruz-Dávalos DI, Mounier N, Dessimoz C, Sedlazeck FJ. Structural variant calling: the long and the short of it. Genome Biol. 2019;20(1):246.
- 14. Chen S, Krusche P, Dolzhenko E, Sherman RM, Petrovski R, Schlesinger F, Kirsche M, Bentley DR, Schatz MC, Sedlazeck FJ, et al. Paragraph: a graph-based structural variant genotyper for short-read sequence data. Genome Biol. 2019;20(1):1–13.
- 15. Eid J, Fehr A, Gray J, Luong K, Lyle J, Otto G, Peluso P, Rank D, Baybayan P, Bettman B, et al. Real-time dna sequencing from single polymerase molecules. Science. 2009;323(5910):133–8.
- 16. Clarke J, Wu H-C, Jayasinghe L, Patel A, Reid S, Bayley H. Continuous base identification for single-molecule nanopore dna sequencing. Nature Nanotechnol. 2009;4(4):265–70.
- 17. Collins RL, Brand H, Karczewski KJ, Zhao X, Alföldi J, Francioli LC, Khera AV, Lowther C, Gauthier LD, Wang H, et al. A structural variation reference for medical and population genetics. Nature. 2020;581(7809):444–51.
- 18. Layer RM, Chiang C, Quinlan AR, Hall IM. Lumpy: a probabilistic framework for structural variant discovery. Genome Biol. 2014;15(6):84.
- 19. Rausch T, Zichner T, Schlattl A, Stütz AM, Benes V, Korbel JO. Delly: structural variant discovery by integrated paired-end and split-read analysis. Bioinformatics. 2012;28(18):333–9.
- 20. Chen X, Schulz-Trieglaff O, Shaw R, Barnes B, Schlesinger F, Källberg M, Cox AJ, Kruglyak S, Saunders CT. Manta: rapid detection of structural variants and indels for germline and cancer sequencing applications. Bioinformatics. 2016;32(8):1220–2.

- 21. Zook JM, Hansen NF, Olson ND, Chapman L, Mullikin JC, Xiao C, Sherry S, Koren S, Phillippy AM, Boutros PC, et al. A robust benchmark for detection of germline large deletions and insertions. Nature Biotechnol. 2020;38(11):1347–55.
- 22. Parikh H, Mohiyuddin M, Lam HY, Iyer H, Chen D, Pratt M, Bartha G, Spies N, Losert W, Zook JM, et al. svclassify: a method to establish benchmark structural variant calls. BMC Genomics. 2016;17(1):64.
- 23. Chaisson MJ, Sanders AD, Zhao X, Malhotra A, Porubsky D, Rausch T, Gardner EJ, Rodriguez OL, Guo L, Collins RL, et al. Multi-platform discovery of haplotype-resolved structural variation in human genomes. Nat Commun. 2019;10(1):1–16.
- 24. Chin C-S, Wagner J, Zeng Q, Garrison E, Garg S, Fungtammasan A, Rautiainen M, Aganezov S, Kirsche M, Zarate S, et al. A diploid assembly-based benchmark for variants in the major histocompatibility complex. Nat Commun. 2020:11(1):1–9.
- English AC, Salerno WJ, Hampton OA, Gonzaga-Jauregui C, Ambreth S, Ritter DI, Beck CR, Davis CF, Dahdouli M, Ma S, et al. Assessing structural variation in a personal genomeãAŤtowards a human reference diploid genome. BMC Genomics. 2015;16(1):286.
- 26. Cheng H, Concepcion GT, Feng X, Zhang H, Li H. Haplotype-resolved de novo assembly using phased assembly graphs with hifiasm. Nature Methods. 2021;18(2):170–5.
- 27. Zhao X, Weber AM, Mills RE. A recurrence-based approach for validating structural variation using long-read sequencing technology. GigaScience. 2017;6(8):061.
- 28. Li H, Bloom JM, Farjoun Y, Fleharty M, Gauthier L, Neale B, MacArthur D. A synthetic-diploid benchmark for accurate variant-calling evaluation. Nat Methods. 2018;15(8):595–7.
- 29. Kronenberg ZN, Osborne EJ, Cone KR, Kennedy BJ, Domyan ET, Shapiro MD, Elde NC, Yandell M. Wham: identifying structural variants of biological consequence. PLoS Comput Biol. 2015;11(12):1004572.
- 30. Wenger AM, Peluso P, Rowell WJ, Chang P-C, Hall RJ, Concepcion GT, Ebler J, Fungtammasan A, Kolesnikov A, Olson ND, et al. Accurate circular consensus long-read sequencing improves variant detection and assembly of a human genome. Nat Biotechnol. 2019;37(10):1155–62.
- 31. Li H. Minimap2: pairwise alignment for nucleotide sequences. Bioinformatics. 2018;34(18):3094–100.
- 32. Robinson JT, Thorvaldsdóttir H, Winckler W, Guttman M, Lander ES, Getz G, Mesirov JP. Integrative genomics viewer. Nature Biotechnol. 2011;29(1):24–6.
- 33. Ren J, Chaisson MJ. Ira: A long read aligner for sequences and contigs. PLOS Comput Biol. 2021;17(6):1009078.
- 34. Sudmant PH, Rausch T, Gardner EJ, Handsaker RE, Abyzov A, Huddleston J, Zhang Y, Ye K, Jun G, Fritz MH-Y, et al. An integrated map of structural variation in 2,504 human genomes. Nature. 2015;526(7571):75–81.
- 35. Belyeu JR, Chowdhury M, Brown J, Pedersen BS, Cormier MJ, Quinlan AR, Layer RM. Samplot: a platform for structural variant visual validation and automated filtering. Genome Biol. 2021;22(1):1–13.
- 36. Sharp AJ, Locke DP, McGrath SD, Cheng Z, Bailey JA, Vallente RU, Pertz LM, Clark RA, Schwartz S, Segraves R, et al. Segmental duplications and copy-number variation in the human genome. Am J Hum Genet. 2005;77(1):78–88.
- 37. Lin J, Yang X, Kosters W, Xu T, Jia Y, Wang S, Zhu Q, Ryan M, Guo L, Zhang C, Lee C, Devinel SE, Eichler EE, Ye K. Mako: A graph-based pattern growth approach to detect complex structural variants. Genomics Proteomics Bioinforma. 2021. https://doi.org/10.1016/j.gpb.2021.03.007.
- 38. Yang J, Chaisson MJ. TT-Mars: structural variants assessment based on haplotype-resolved assemblies. GitHub. 2022. https://github.com/ChaissonLab/TT-Mars.git. Accessed 23 Mar 2022.
- Yang J, Chaisson MJ. TT-Mars: structural variants assessment based on haplotype-resolved assemblies. Zenodo. 2022. https://doi.org/10.5281/zenodo.6380880.

Publisher's Note

Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Ready to submit your research? Choose BMC and benefit from:

- fast, convenient online submission
- thorough peer review by experienced researchers in your field
- rapid publication on acceptance
- support for research data, including large and complex data types
- gold Open Access which fosters wider collaboration and increased citations
- maximum visibility for your research: over 100M website views per year

At BMC, research is always in progress.

Learn more biomedcentral.com/submissions

